EXHIBIT C

Filed by Petitioner or Respondent (*deleted as appropriate)

FORM E FINANCIAL STATEMENT

In the	District Court / High Court*

Case No Always quote this

Petitioner / 1st Applicant / Respondent / 2nd Applicant*

R	et	AIC	۵۵	n

Petitioner /-1 st -Applicant	
Solicitor's ref.	

Respondent / 2nd Applicant	
Solicitor's ref.	

Please fill in this form fully and accurately. Where any box is not applicable write "N/A". You have a duty to the court to give a full, frank and clear disclosure of all your financial and other relevant circumstances.

A failure to give full and accurate disclosure may result in any order the court makes being set aside and an order for costs being made against you.

If you are found to have been deliberately untruthful, criminal proceedings for perjury may be taken against you.

If there is not enough room on the form for any particular piece of information, you may continue on an attached sheet or paper.

Attach documents to the form where they are specifically sought and you may attach other documents where it is necessary to explain or clarify any of the information that you give.

This statement must be sworn or affirmed before a solicitor or a Commissioner for Oaths before it is filed with the Court or sent to the other party.

Important: You are recommended to obtain independent legal advice before completing this form.

*delete as appropriate

INDEX		
		Page
Part 1	General Information	2
Part 2	Assets	5
	Liabilities	12
	Summaries of Assets and Liabilities	13
Part 3	Income	14
Part 4	Current Monthly Expenses	16
Part 5	Other Information	18
Part 6	Orders Sought	20
Part 7	Schedule of Attachments	21

Case 1:13-cv-02690-LAK-JLC Document 8-3 Filed 05/09/13 Page 3 of 25

Part	General	1111011116	lliOII						
1.1	Full name								
1.2	Date of birth	Day	Month	Year	1.3.	Date of Marriage	Day	Month	Year
1.4	Occupation								
1.4.1	I am employed *			,				·	•
	Self-employed *			,					
	Unemployed *			and have bee	n since	Day	Month	Year	
	Retired *	·		and have bee	n since	e Day	Month	Year	
1.4.2.	If employed give t	the follow	ving deta	ils:-	_	<u> </u>			
	I am employed or	ı a casua	l basis *] I ha	ave been so empl	oyed for	Years	Months
		a fixed s							
		a piece- herwise *		i					
	My employer's na	me and a	ıddress i	s:-					
	Name								
	Address								
	If your present job My previous occu	b has las ipation w	ted less	than 2 years			· ·		
From	: D/M/Y			To : D/M/	Υ				
My for	mer employer's na	me and a	ıddress v	was:-					
	Name								
	Address								
My pre	vious monthly incon	ne was		HK\$					
*Tick in	n the box that applie	ę.							

critical expansion of the space charge region of 310 μm in order to achieve a volume breakdown voltage which is as high as possible (see the third paragraph on page 807, right-hand column, in combination with Fig. 1).

However, the adjustment of the specific charge density in the semiconductor to values below 90% of the critical charge density is not discussed in Laska et al.

Clearly, Laska et al. do not show "a specific charge density $\rho(z) \text{ in a direction } z \text{ between said pn junction and said second}$ main surface such that: $\int\limits_0^w \rho(z)dz \leq 0.9q_e$ ", as recited in claim 1 of the instant application.

Claim 1 is, therefore, believed to be patentable over Laska et al.

In item 4 on pages 5-6 of the above-mentioned Office action, claim 3 has been rejected as being unpatentable over Laska et al. in view of Hutchings et al. (US Pat. No. 5,387,528) under 35 U.S.C. § 103(a).

Hutchings et al. do not describe anything that goes beyond a field-effect transistor where a highly-doped connection region 4a is present (see Fig. 1 and col. 6, lines 13 to 19).

Clearly, Hutchings et al. also do not show "a specific charge density $\rho(z)$ in a direction z between said pn junction and said second main surface such that: $\int\limits_0^w \rho(z)dz \leq 0.9q_c$ ", as recited in claim 1 of the instant application.

It is accordingly believed to be clear that none of the references, whether taken alone or in any combination, either show or suggest the features of claim 1. Claim 1 is, therefore, believed to be patentable over the art and since claim 3 is dependent on claim 1, it is believed to be patentable as well.

In item 5 on pages 6-9 of the above-mentioned Office action, claims 1 and 3-5 have been rejected as being unpatentable over Park (US Pat. No. 5,702,961) in view of Laska et al. under 35 U.S.C. § 103(a).

Park discloses a method for producing IGBTs having, at their cathode sides, n^+ and p^+ -conductive regions 102, 104, which can be compared with the regions (9, 10) of the invention of the instant application. However, Park does not show "a specific charge density $\rho(z)$ in a direction z between said pn junction and said second main surface such that: $\int_0^w \rho(z)dz \leq 0.9q_e$ ", as recited in claim 1 of the instant application.

It is accordingly believed to be clear that none of the references, whether taken alone or in any combination, either show or suggest the features of claim 1. Claim 1 is, therefore, believed to be patentable over the art and since claims 3-5 are ultimately dependent on claim 1, they are believed to be patentable as well.

In item 6 on page 9 of the above-mentioned Office action, claim 6 has been rejected as being unpatentable over Park and Laska et al. in view of Fruth et al. (US Pat. No. 6,011,280), or, in the alternative, as being unpatentable over Laska et al. in view of Fruth et al. under 35 U.S.C. § 103(a).

As discussed above, claim 1 is believed to be patentable over the art. Since claim 6 is dependent on claim 1, it is believed to be patentable as well.

In item 7 on pages 9-10 of the above-mentioned Office action, claims 8-10 have been rejected as being unpatentable over Park and Laska et al. and further in view of Yamaguchi et al. (US Pat. No. 5,821,586) or, in the alternative, as being unpatentable over Laska et al. in view of Yamaguchi et al. under 35 U.S.C. § 103(a).

Yamaguchi shows n⁺ and p⁺-conductive regions 4, 5 (see Fig. 4). However, these regions cannot be interpreted as compensation regions which are to be compared with the region 24 of the exemplary embodiment of Fig. 4 of the instant application.

Claims 8-10 are believed to be patentable for the reason discussed above as well as because they are ultimately dependent on claim 1.

In item 8 on pages 10-11 of the above-mentioned Office action, claim 11 has been rejected as being unpatentable over Park and Laska et al. and further in view of Yamamoto (Japanese Patent Application JP 404234173A) or, in the alternative, as being unpatentable over Laska et al. in view of Yamamoto under 35 U.S.C. § 103(a).

As discussed above, claim 1 is believed to be patentable over the art. Since claim 11 is dependent on claim 1, it is believed to be patentable as well.

Applicants acknowledge the Examiner's statement in item 9 on page 11 of the above-mentioned Office action that claim 7 would be allowable if written in independent form including all of the limitations of the base claim and any intervening claims.

Since claim 1 is believed to be patentable as discussed above and claim 7 is ultimately dependent on claim 1, it is believed to be patentable in dependent form. A rewrite is therefore believed to be unnecessary at this time.

In view of the foregoing, reconsideration and allowance of claims 1 and 3-11 are solicited.

In the event the Examiner should still find any of the claims to be unpatentable, counsel would appreciate a telephone call so that, if possible, patentable language can be worked out.

Please charge any fees which might be due with respect to Sections 1.16 and 1.17 to the Deposit Account of Lerner and Greenberg, P.A., No. 12-1099.

Respectfully submitted,

Mpplicants

YHC:cgm

September 6, 2002

LAURENCE A. GREENBERG REG. NO. 29,308

FAX COPY RECEIVED

SEP 6 2002

TECHNOLOGY CENTER 2800

Lerner and Greenberg, P.A. Post Office Box 2480 Hollywood, FL 33022-2480 Tel: (954) 925-1100

Fax: (954) 925-1101

Applic. No.: 09/838,743

Marked-Up Version of the Amended Paragraphs in the Specification and Marked-Up Version of the Amended Claims:

The paragraph starting on page 14, line 17 and ending on page 15, line 4 now reads:

In accordance with an added feature of the invention, the layer thickness of the semiconductor body has a specific charge density ρ in a direction z between the pn junction and the second main surface such that:

$$\int_{0}^{w} \rho(z)dz \le 0.9q_{c}$$

in which [W denotes the layer thickness, and] q_c denotes a critical value of the charge quantity q in the semiconductor body [and is] at which the electrical breakdown is reached, said change quantity q being linked to an electric field strength E [applied] between the first electrode and the second electrode by [Maxwell] the above equation[:]

$$\int_{0}^{W} \rho(z)dz = q \text{ and Poisson's equation } \nabla E = -4\pi\rho \left[\vec{\nabla} \cdot \vec{E} = -4\pi\rho \right].$$

Claim 1 (amended). A vertically structured power semiconductor component, comprising:

a semiconductor body of a first conductivity type and having a first main surface and a second main surface opposite said first main surface;

a body zone of a second conductivity type opposite of said first conductivity type introduced into said first main surface;

a zone of said first conductivity type disposed in said body zone;

a first electrode making contact with said zone and with said body zone;

a second electrode disposed on said second main surface;

an insulating layer disposed on said first main surface;

a gate electrode disposed above said body zone and separated from said body zone by said insulating layer; and

an intersection of said semiconductor body and said body zone defining a pn junction[,];

said semiconductor body having:



a layer thickness between said pn junction and said second main surface selected such that, when one of a maximum allowed blocking voltage and a voltage just less than this, is applied between said first electrode and said second electrode, a space charge zone created in said semiconductor body meets said second main surface before a field strength \underline{E} created by an applied blocking voltage reaches a critical value \underline{E}_c at which an electrical breakdown is reached; and

a specific charge density $\rho(z)$ in a direction z between said pn junction and said second main surface such that:

$$\int_{0}^{w} \rho(z)dz \leq 0.9q_{c}$$

in which [W denotes the layer thickness, and] q_c denotes a critical value of the charge quantity q in said semiconductor body [and is] at which the electrical breakdown is reached, said change quantity q being linked to [an] said electric field strength E [applied] between said first electrode and said second electrode by [Maxwell] the above equation[:]

 $\int_{0}^{w} \rho(z)dz = q \text{ and Poisson's equation } \underline{\nabla E = -4\pi\rho} [\overline{\nabla}.\overline{E} = -4\pi\rho].$